



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*Dep & Ref  
ep & suit 807*

Application Of:  
Lee Ming Cheng

Assignee: City University of Hong Kong

Serial No.: 09/837,981

Filed: April 19, 2001

Title: COMPACT CRYPTO-ENGINE  
FOR RANDOM NUMBER AND  
STREAM CIPHER GENERATION

§  
§  
§  
§  
§  
§  
§  
§  
§  
§  
§

Attorney Docket No.: P-370.207

FEB 23 2006

US PATENT & TRADEMARK  
OFFICE

Mail Stop: ISSUE FEE  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR REFUND

The Assignee claims small entity status now and back to April 19, 2001. Enclosed are copies of Form PTOL-85, payment check in the amount of \$1,700 (\$1,400 for issue fee and \$300 for publication fee), and date-stamped acknowledgment postcard acknowledging receipt of the \$1,700 fees. Please refund Deposit Account 07-2400 for the \$700.00 issue fee overpayment.

Respectfully submitted,  
JACKSON WALKER LLP

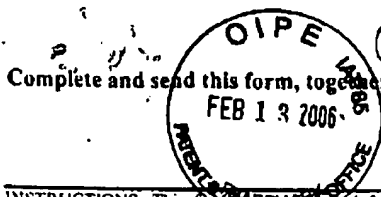
Thomas E. Sisson  
Reg. No. 29,348  
112 E. Pecan Street, Suite 2100  
San Antonio, Texas 78205  
Phone: (210) 978-7700  
Fax: (210) 978-7790  
Attorney of Record for Applicant



CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) was mailed by First Class Mail addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Date Feb. 9, 2006  
Shirley McIntyre  
Shirley McIntyre



## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
or Fax (571) 273-2885

INSTRUCTIONS: This form is used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees, will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

08/30/2005

JACKSON WALKER L.L.P.  
112 E. Pecan Street, Suite 2100  
San Antonio, TX 78205

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Shirley McIntyre	(Depositor's name)
<i>[Signature]</i>	(Signature)
NOV. 1, 2005	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/837,981	04/19/2001	Lee Ming Cheng	P-370.207	1407

TITLE OF INVENTION: COMPACT CRYPTO-ENGINE FOR RANDOM NUMBER AND STREAM CIPHER GENERATION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	11/30/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
TRUONG, THANHNGA B	2135	380-044000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Jackson Walker, LLP

2

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

City University of Hong Kong

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Kowloon, Hong Kong

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Thomas E. Sisson

Date Nov. 1, 2005

Typed or printed name

Registration No. 29,348

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



ATTORNEYS & COUNSELORS

901 Main Street, Suite 6000, Dallas, Texas 75202  
(214) 953-6000 Fax (214) 953-5822

JACKSON WALKER L.L.P.

Austin Dallas Ft. Worth Houston Richardson San Angelo San Antonio

Bank of America  
Dallas, Tx

**427327**

Check Date: 10/31/2005

\$ \*\*\*1,700.00\*\*\*

One thousand seven hundred and 00/100.....

PAY TO THE  
ORDER OF

Commissioner of Patents

DOLLARS  
Req. # 341327

AUTHORIZED SIGNATURE

⑈427327⑈ ⑆111000025⑆ ⑈000180472852⑈

Vendor ID: 32984

Req341327

NATOD

<000180472852<

Check Date: 10/31/2005

Check #: 427327

Disb ID 6911641

C/M# 304042.00207

PATF

PAYEE: Commissioner of Patents; REQUEST#: 341327; DATE:  
10/31/2005. - Issue and Publication fees, P-370.207

1,700.00

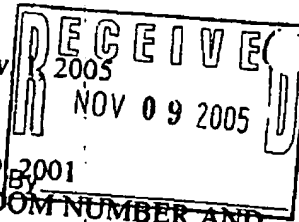




P-370.207

Sam

Date: Nov 1 2005



In re Application Of: Lee Ming Cheng  
Serial No. 09/837,981  
Title: COMPACT CRYPTO-ENGINE FOR RANDOM NUMBER AND  
STREAM CIPHER GENERATION

Filed: April 19, 2001

The date stamp of the U.S. Patent and Trademark Office will acknowledge receipt of:

- Fee(s) Transmittal
- Check for \$1,700.00

VIA FIRST CLASS MAIL



P-370.207

TES:sam

Date: Feb. 9, 2006

In re Application Of: Lee Ming Cheng, et al.

Assignee: City University of Hong Kong

Serial No.: 09/837,981

Filed: 4/19/2001

Title: COMPACT CRYPTO-ENGINE FOR RANDOM NUMBER AND STREAM  
CIPHER GENERATION

The date stamp of the U.S. Patent and Trademark Office will acknowledge receipt  
of:

**Request for Refund**

**VIA FIRST CLASS MAIL**

3635369v.1